

**DR. JOHN AWOWALE**  
**TOTAL SHOULDER OR REVERSE ARTHROPLASTY POST-OP THERAPY PROTOCOL**

**Phase 1 – Maximum Protection (0-6 weeks)**  
**(continued on next page)**

Goals for Phase 1	Precautions for Phase 1	Criteria for Progression to Phase 2
<ul style="list-style-type: none"> <li>• Minimize pain and inflammation</li> <li>• Protect integrity of the repair</li> <li>• Initiate shoulder PROM</li> <li>• Prevent muscular inhibition</li> </ul>	<ul style="list-style-type: none"> <li>• Check op note- if subscapularis repair or reverse arthroplasty, no forced passive or active assist IR for 12 weeks</li> </ul>	<ul style="list-style-type: none"> <li>• Minimal pain with Phase 1 exercises</li> <li>• Passive shoulder flexion <math>\geq 110^\circ</math></li> <li>• Passive shoulder abduction <math>\geq 60^\circ</math></li> <li>• Passive shoulder internal and external rotation at <math>45^\circ</math> abduction in scapular plane to <math>45^\circ</math> each</li> </ul>

**Immobilization**

- Sling immobilization with abduction pillow for 6 weeks except for bathing and therapeutic exercises as provided at prehab visit

**Initial Post-Op Exercises**

- Elbow, forearm, wrist, hand (grip) AROM exercises; pendulum (Codman's) exercise; scapular squeezes; upper trapezius stretching; postural correction
- Remove ABD sling 3 times per day for performance of home exercise program

**Post-Op Physical/Occupational Therapy**

- **1st therapy visit to occur 4 weeks post-op**
  - Therapy 2-3 times per week to start at 4 weeks. If not able to obtain ROM as stated, please provide progress notes for review and update for ortho at 6 weeks and 12 weeks
  - Ensure appropriate fit of sling and reinforce on proper use
  - Review initial post-operative exercises and reinforce on proper performance
  - PROM check performed:
    - Goal  $90^\circ$ FLEX,  $60^\circ$ ABD,  $30^\circ$ IR and ER at  $45^\circ$ ABD
    - Limit  $120^\circ$ FLEX,  $90^\circ$ ABD,  $45^\circ$ IR and ER at  $45^\circ$ ABD (limit ER to  $30^\circ$  if therapy were to start before week 4)

**Manual Therapy**

- Initiate pain dominant glenohumeral joint mobilization (grade 1-2)
- Initiate scar mobilization, soft tissue mobilization, lymphedema massage
- Initiate other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

**PROM**

- Initiate manual shoulder PROM in all planes of motion within limitations
  - Limit  $120^\circ$ FLEX,  $90^\circ$ ABD,  $45^\circ$  IR and ER at  $45^\circ$ ABD
  - Avoid sustained end range stretching



**Phase 1 – Maximum Protection (0-6 weeks)**

**AAROM**

- Initiate shoulder ER AAROM with wand at 45° ABD
- Limit to 45° ER
- Initiate shoulder FLEX and ABD AAROM
- Table slides, U.E. Ranger, physio-ball, wand, etc.
- Avoid pulleys

**Modalities**

- Utilize cryotherapy, thermotherapy, and electrical modalities as needed

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**Phase 2 – Active Range of Motion (6-12 weeks)**  
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<b>Goals for Phase 2</b>	<b>Precautions for Phase 2</b>	<b>Criteria for Progression to Phase 3</b>
<ul style="list-style-type: none"> <li>• Minimize pain and inflammation</li> <li>• Restore full shoulder PROM</li> <li>• Restore full shoulder AROM</li> <li>• Initiate sub-maximal rotator cuff activation and neurodynamic stabilization exercises</li> <li>• No shoulder shrug sign with elevation AROM</li> </ul>	<ul style="list-style-type: none"> <li>• Continue Phase 1 precautions- if subscapularis repair or reverse arthroplasty, no forced passive or active assist IR for 12 weeks</li> </ul>	<ul style="list-style-type: none"> <li>• Minimal pain with Phase 2 exercises</li> <li>• Full shoulder PROM with minimal pain</li> <li>• Full shoulder AROM with minimal pain</li> <li>• Demonstrate neurodynamic stabilization of the shoulder</li> <li>• No evidence of shoulder shrug with elevation AROM</li> </ul>

**Manual Therapy**

- Continue pain dominant glenohumeral joint mobilization (grade 1-2) as needed
- Initiate stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed
  - Utilize stiffness dominant glenohumeral joint mobilization (grade 3-4) to facilitate specific AROM and PROM deficits
- Continue scar mobilization, soft tissue mobilization, lymph edema massage as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

**PROM**

- Continue manual shoulder PROM, as tolerated, with consideration for surgical precautions
  - Initiate sustained end range stretching with consideration for surgical precautions
  - No forced passive or active assisted IR with subscapularis repair or reverse arthroplasty

**AAROM**

- Continue shoulder ER AAROM with wand at 45° ABD
  - Progress from 45° to 60° to 90° ABD
- Continue shoulder FLEX and ABD AAROM
  - Table slides, wall slides, U.E. Ranger, physio-ball, wand, pulleys, etc.

**AROM**

- Initiate shoulder AROM in all planes of motion as tolerated
  - Gradually progress from gravity reduced to full gravity positions
  - Gradually progress from below shoulder height to above shoulder height
  - Consider single-planar and multi-planar movement patterns
- Do **NOT** exercise through shoulder shrug sign

**Strengthening**

- Initiate sub-maximal shoulder isometrics for FLEX, ABD, EXT, IR, and ER
- Initiate light isotonic scapular strengthening
  - Supine press, serratus press outs, prone row, etc.
- Initiate light isotonic biceps and triceps strengthening

## Phase 2 – Active Range of Motion (6-12 weeks)

- Initiate sub-body weight closed-chain strengthening exercises
  - Wall press outs, countertop press outs, etc.
- Avoid sub-body weight suspension training exercises
  - TRX, GTS, assisted chin or dip machine, etc.
  - Do **NOT** exercise through shoulder shrug sign

### Aquatics

- Utilize aquatics for patients who are significantly painful, stiff, or guarded
  - Initiate when surgical incisions have healed
  - Initiate buoyancy assisted ROM exercises within limitations
  - Consider alternating land- and aquatic-based physical therapy visits

### Neuromuscular Control

- Initiate sub-maximal rhythmic stabilization drills
  - Gradually progress shoulder FLEX from 100° to 90° to 60° to 30°
  - Gradually progress shoulder IR and ER from 30° to 60° to 90° ABD

### NMES

- Utilize NMES to facilitate rotator cuff and scapular activation and strengthening

### Modalities

- Utilize cryotherapy, thermotherapy, and electrical modalities as needed

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**Phase 3 – Strengthening (12+ weeks)**  
**(continued on next page)**

<b>Goals for Phase 3</b>	<b>Precautions for Phase 3</b>	<b>Criteria for Progression to Phase 4</b>
<ul style="list-style-type: none"> <li>• Minimize pain and inflammation</li> <li>• Maintain full shoulder PROM and AROM</li> <li>• Improve shoulder, scapular, and total arm strength</li> <li>• Improve neurodynamic stabilization of the shoulder</li> <li>• No shoulder shrug sign</li> <li>• With strengthening exercises</li> </ul>	<ul style="list-style-type: none"> <li>• None</li> </ul>	<ul style="list-style-type: none"> <li>• Minimal pain with Phase 3 exercises</li> <li>• Full, pain free shoulder PROM and AROM</li> <li>• Shoulder, scapular, and total arm strength <math>\geq</math> 80% of the uninvolved side (4/5)</li> </ul>

**Manual Therapy**

- Continue stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

**PROM**

- Continue manual shoulder PROM and stretching as needed
- For subscapularis repair or reverse arthroplasty, initiate IR PROM and stretch as needed

**Strengthening**

- Initiate gradual progression of isotonic rotator cuff strengthening exercises
  - Gradually progress from gravity reduced to full gravity positions
  - Gradually progress from below shoulder height to above shoulder height
  - Gradually progress internal and external rotation from 30° to 60° to 90° abduction and from supported to unsupported conditions
  - Consider single-planar and multi-planar movement patterns
- Progress isotonic scapular strengthening exercises
  - Progress from isolated to functional movement patterns
- Progress isotonic biceps and triceps strengthening exercises
  - Progress from isolated to functional movement patterns
- Progress closed-chain strengthening exercises
  - Gradually progress from sub-body weight to full body weight positions
  - Gradually progress from stable to unstable surfaces
  - Do **NOT** exercise through shoulder shrug sign

**Neuromuscular Control**

- Progress rhythmic stabilization exercises to more functional positions and dynamic movement patterns
  - Gradually progress from mid-range to end range positions
  - Gradually progress from open-chain to closed-chain positions
- Initiate gradual progression of other neuromuscular control exercises
  - Body blade, wall dribbles, ball flips, plyo-back, etc.



### **Phase 3 – Strengthening (12+ weeks)**

#### **Core Stabilization**

- Incorporate core integrated exercises with strengthening and neuromuscular control progression

#### **NMES**

- Utilize NMES to facilitate rotator cuff and scapular activation and strengthening

#### **Modalities**

- Utilize cryotherapy, thermotherapy, and electrical modalities as needed

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**Phase 4 – Return to Activity (18+ weeks)**  
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<p><b>Goals for Phase 4</b></p> <ul style="list-style-type: none"> <li>• Minimize pain and inflammation</li> <li>• Maintain full shoulder PROM and AROM</li> <li>• Restore shoulder, scapular, and total arm strength, power, and endurance</li> <li>• Restore neurodynamic stabilization of the shoulder</li> <li>• Safe and effective return to previous level of function for occupational, sport, or desired activities</li> </ul>	<p><b>Precautions for Phase 4</b></p> <ul style="list-style-type: none"> <li>• None</li> </ul>
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**Manual Therapy**

- Continue stiffness dominant glenohumeral joint mobilization (grade 3-4) as needed
- Continue other shoulder, scapular, and cervicothoracic manual therapy techniques as needed

**PROM**

- Continue manual shoulder PROM and stretching as needed

**Strengthening**

- Continue Phase 3 strengthening exercises
- Consider specific demands of occupational, sport, or desired activities

**Neuromuscular Control**

- Continue Phase 3 neuromuscular control exercises
- Consider specific demands of occupational, sport, or desired activities

**Core Stabilization**

- Continue incorporate core integrated exercises with strengthening and neuromuscular control progression

**Weight Lifting**

- Initiate traditional weight-lifting exercises
- Educate patient to strengthen prime movers **AND** secondary stabilizers
- Educate patient to balance anterior **AND** posterior musculature

**Work Specialty Rehabilitation Program**

- Transition to work re-conditioning if physical laborer
- Transition to work re-conditioning if specific occupational demands
  - Lifting requirements, overhead tasks, repetitive tasks, tool or machine work, etc.

**Modalities**

- Utilize cryotherapy, thermotherapy, and electrical modalities as needed

**HEP**

- Establish HEP for long-term self-management



### **Phase 4 – Return to Activity (18+ weeks)**

#### **Criteria for Return to Activity**

- Minimal pain with phase 4 exercises
- Full, pain free shoulder PROM and AROM
- Shoulder, scapular, and total arm strength  $\geq 90\%$  of the uninvolved side (4+/5)

#### **OR**

- Demonstrate neurodynamic stabilization of the shoulder
- Successful completion of functional capacity evaluation if physical laborer
- Quick Disability Arm Shoulder Hand Index score  $\leq 15\%$  disability